



*exchange data international*

US Bankruptcy Data

V. 1.0



## Helping the global financial community make informed decisions through the provision of fast, accurate, timely and affordable reference data services

With more than 20 years of experience, we offer comprehensive and complete securities reference and pricing data for equities, fixed income and derivative instruments around the globe.

Our customers can rely on our successful track record to efficiently deliver high quality data sets including:

- Worldwide Corporate Actions
- Worldwide Fixed Income
- Security Reference File
- Worldwide End-of-Day Prices

Exchange Data International has recently expanded its data coverage to include economic data. Currently it has three products:

- African Economic Data [www.africadata.com](http://www.africadata.com)
- Economic Indicator Service (EIS)
- Global Economic Data

Our professional sales, support and data/research teams deliver the lowest cost of ownership whilst at the same time being the most responsive to client requests.

As a result of our on-going commitment to providing cost effective and innovative data solutions, whilst at the same time ensuring the highest standards, we have been awarded the internationally recognized symbol of quality ISO 9001.

Headquartered in United Kingdom, we have staff in Canada, India, Morocco, South Africa and United States.



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## Company Background

The Bankruptcy Data service is a partnership between **Exchange Data International (EDI)** and **New Generation Research, INC.**

**New Generation Research INC** was founded in 1986 by George Putnam and is now headquartered in Boston, MA. New Generation was the first company to store and digitize US Bankruptcy Filings and is currently the largest inventory of US Bankruptcy filings and data, servicing over 2000 customers.

## Bankruptcy Data

Bankruptcy Data allows subscribers to spend less time looking for business bankruptcy information and more time using it. Clients can power their analysis, streamline their search and monitor efforts with in-depth bankruptcy information right at the fingertips.

The case information for all companies that file bankruptcy in the 94 U.S. Bankruptcy Courts is imported and updated daily, currently consisting of more than 500,000 bankrupt companies.

In addition to a compressive database, we "track" and write news about every public company currently operating under Chapter 11 protection. This process allows us to continue collecting information about the public company bankruptcy.

## How Can Bankruptcy Data Help You?

- Stay on top of bankruptcy case activity with Bankruptcy Daily and Bankruptcy Week;
- Conduct industry research using our advanced and customized bankruptcy database search platform;
- Output bankruptcy search results for further analysis and review;
- Generate sales leads with a daily file of bankrupt companies;
- Monitor competition by accessing our professional retention database;
- Feed in-house applications and databases with custom APIs and data feeds.

# Coverage

The Bankruptcy Data service includes:

- Comprehensive data on US business filings for businesses over 50MM in Assets, with historical data going back to 2001
- Original source documents
- Daily news on important updates in cases
- Creditor Profiling
- Distressed Company Alert: Catalysts for DCA monitoring
  - Audit concern
  - Default
  - Covenant Violation
  - Low Rating
  - Debt at significant Discount
  - Preferred dividend omission
  - Distressed debt exchange

We cover over 340 fields including: plans of reorganization and disclosure statements, debtor-in-possession financing information, professional retention data, 363 sales motions, key employee incentive plans, monthly operating reports, claim transfer activity, securities and security pricing data, committee information and many more.

Feed	Field	Type	Description	Feed	Field	Type	Description	Feed	Field	Type	Description
<b>Cases are actual Pacer business bankruptcy filings</b>				<b>DBAs are alternative names associated with a company.</b>				<b>DBAs are alternative names associated with a company.</b>			
Cases	CaseId	int	a unique id for this case	DBAs	dba	string	alternate name for the company	DBAs	dba	string	alternate name for the company
Cases	BkDate	date	bankruptcy date	DBAs	CaseId	int	references the Cases table	DBAs	CaseId	int	references the Cases table
Cases	CaseNumber	string	Pacer case number in YY-NNNNN format	DBAs	CompanyID	int	references the Companies table	DBAs	CompanyID	int	references the Companies table
Cases	FilingType	int	bankruptcy chapter (7, 11, 12, 13, 15, etc.)	<b>Dockets are individual docket entries in a bankruptcy case.</b>				<b>Dockets are individual docket entries in a bankruptcy case.</b>			
Cases	CourtId	int	id of the district court for this case	Dockets	CaseId	int	references the Cases table	Dockets	CaseId	int	references the Cases table
Cases	CourtState	string	two-letter state for the case	Dockets	date	date	date of this docket entry	Dockets	date	date	date of this docket entry
Cases	Judge	string	judge's full name	Dockets	num	int	filing sequence number	Dockets	num	int	filing sequence number
Cases	Atty	string	attorney's full name	Dockets	text	string	description of the filing	Dockets	text	string	description of the filing
Cases	AttyFirm	string	attorney's firm name	Dockets	documentypst	int	type of docket entry; references the Dockets	Dockets	documentypst	int	type of docket entry; references the Dockets
Cases	AttyAddr	string	attorney address; can be multi-line	<b>Companies are public or large private companies that are monitored because they are in</b>				<b>Companies are public or large private companies that are monitored because they are in</b>			
Cases	AttyCity	string	attorney city	Companies	CaseId	int	references the Cases table	Companies	CaseId	int	references the Cases table
Cases	AttyState	string	attorney state	Companies	CompanyID	int	unique id for this company	Companies	CompanyID	int	unique id for this company
Cases	AttyZip	string	attorney zip	Companies	EIN	string	company EIN	Companies	EIN	string	company EIN
Cases	AttyPhone	string	attorney phone	Companies	Company	string	company name	Companies	Company	string	company name
Cases	AttyEmail	string	attorney email	Companies	Description	string	brief description	Companies	Description	string	brief description
Cases	Company	string	company name; companies that file multiple times	Companies	Address	string	company address; may be multi-line	Companies	Address	string	company address; may be multi-line
Cases	AssetsId	int	id of the assets range for this company	Companies	City	string	company city	Companies	City	string	company city
Cases	LiabilitiesId	int	id of the liabilities range for this company	Companies	State	string	company state	Companies	State	string	company state
Cases	SalesId	int	id of the sales range for this company	Companies	Zip	string	company zip	Companies	Zip	string	company zip
Cases	EmployeesId	int	id of the employees range for this company	Companies	Country	string	company country (if not US)	Companies	Country	string	company country (if not US)
Cases	CreditorsId	int	id of the creditors range for this company	Companies	Phone	string	company phone	Companies	Phone	string	company phone
Cases	SIC	int	4-digit SIC code	Companies	Contact	string	contact name	Companies	Contact	string	contact name
Cases	EIN	string	company EIN	Companies	ContactNote	string	contact note	Companies	ContactNote	string	contact note
Cases	Business	string	references the Industries table	Companies	Assets	money	most recent assets	Companies	Assets	money	most recent assets
Cases	IndustryId	int	references the Industries table	Companies	Liabilities	money	most recent liabilities	Companies	Liabilities	money	most recent liabilities
Cases	Addr	string	company address; can be multi-line	Companies	Employees	int	employees (approx.)	Companies	Employees	int	employees (approx.)
Cases	City	string	company city	Companies	IsPublic	bit	true if this is a public company	Companies	IsPublic	bit	true if this is a public company
Cases	State	string	company state	Companies	SIC	int	4-digit SIC code	Companies	SIC	int	4-digit SIC code
Cases	Zip	string	company zip	Companies	Fax	string	fax number	Companies	Fax	string	fax number
Cases	Country	string	company country (if not US)	Companies	Website	string	website	Companies	Website	string	website
Cases	Phone	string	company phone	Companies	Notes	string	notes	Companies	Notes	string	notes
Cases	IsPublic	bit	true if this is a public company	Companies	Profile	string	descriptive paragraph	Companies	Profile	string	descriptive paragraph
Cases	Contact	string	contact full name	Companies	Auditor	string	auditor full name	Companies	Auditor	string	auditor full name
Cases	Auditor	string	auditor full name	Companies	IndustryId	int	references the Industries table	Companies	IndustryId	int	references the Industries table
Cases	ConfInDate	date	case confirmation date	Companies	Ticker	string	ticker symbol for public stock, if any	Companies	Ticker	string	ticker symbol for public stock, if any
Cases	EffectiveDate	date	case effective date	Companies	Exchange	string	exchange name for public stock, if a	Companies	Exchange	string	exchange name for public stock, if a
Cases	DismissedDate	date	case dismissed date	<b>CaseInfo is additional information about some cases.</b>				<b>CaseInfo is additional information about some cases.</b>			
Cases	ComersionDate	date	case conversion date	CaseInfo	CaseId	int	references the Cases table	CaseInfo	CaseId	int	references the Cases table
Cases	OutcomesId	int	defines the case outcome; see the Outcomes table	CaseInfo	TrfInDate	date		CaseInfo	TrfInDate	date	
Cases	Status	string	case status	CaseInfo	SolcInDate	date		CaseInfo	SolcInDate	date	
Cases	ConfirmedPlan	string		CaseInfo	UpdateDate	date		CaseInfo	UpdateDate	date	
Cases	IsRepealed	bit		CaseInfo	ConfirmedPlan	string		CaseInfo	ConfirmedPlan	string	
Cases	Name22	string		CaseInfo	HasEquityComm	bit		CaseInfo	HasEquityComm	bit	
Cases	Is363	bit		<b>Officers are company officers</b>				<b>Officers are company officers</b>			
Cases	Is363	bit		Officers	CompanyID	int	references the Companies table	Officers	CompanyID	int	references the Companies table
Cases	Is363	bit		Officers	FirstName	string		Officers	FirstName	string	
Cases	Is363	bit		Officers	LastName	string		Officers	LastName	string	
Cases	Is363	bit		Officers	Title	string		Officers	Title	string	
Cases	Is363	bit									

## Data Feeds

Bankruptcy Data is available through **FTP**, **API**, **Flat files**, and more. Bankruptcy bulk data can be used in applications for:

- Credit Risk Models
- Customer Profiling
- Investment Screening
- Creditor Profiling
- Bankruptcy Emergence data
- Creating trading signals in combination with other data
- Etc.

## Who Uses Bankruptcy Data?

Bankruptcy Data is used by professionals, both large and small, with an interest in the bankruptcy sector. Here are just a few examples of how Bankruptcy Data subscribers use our service.

- **Investment professionals** identifying or monitoring distressed investment opportunities.
- **Law firms** tracking who is being hired by whom and for how much--in other words, to gather "competitive intelligence."
- **Restructuring firms** staying on top of existing customers and identifying new prospects.
- **Academics** researching historical information and/or looking for trends within the industry.
- **Educational institutions** as a reference resource for various data model configurations and student dissertations.
- **Liquidators** and **auction houses** focusing on distressed assets.
- **Hedge funds** and **private equity firms** identifying distressed investment opportunities.
- **Real Estate companies** seeking distressed properties.
- **Lenders** securing debtor-in-possession funding opportunities.
- **Businesses** finding charged-off receivables and remnant assets.
- **Companies** purchasing default judgements.
- **Insurance** and **financial institutions** supporting their risk assessment applications.
- **Data aggregators** import our data to support business service platforms.
- **Companies** run our data against customer, prospect and vendor files.

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