

# Exchange Data International Limited

## GDPR Compliance Statement

Exchange Data International Limited is committed to ensuring the security and protection of the personal data that we process, and to provide a compliant and consistent approach to data protection. We have always had robust and effective data protection policies and procedures in place which comply with existing law and abide by the data protection principles.

This statement confirms our compliance with Data Protection Legislation.

**'Data Protection Legislation'**: (i) unless and until the GDPR is no longer directly applicable in the UK, the General Data Protection Regulation ((EU) 2016/679) and any national implementing laws, regulations and secondary legislation, as amended or updated from time to time, in the UK and then (ii) any successor legislation to the GDPR or the Data Protection Act 1998.

We adhere to the principles relating to processing of personal data set out in the Data Protection Legislation which require personal data to be:

- Processed lawfully, fairly and in a transparent manner (Lawfulness, Fairness and Transparency).
- Collected only for specified, explicit and legitimate purposes (Purpose Limitation).
- Adequate, relevant and limited to what is necessary in relation to the purposes for which it is Processed (Data Minimisation).
- Accurate and where necessary kept up to date (Accuracy).
- Not kept in a form which permits identification of data subjects for longer than is necessary for the purposes for which the data is processed (Storage Limitation).
- Processed in a manner that ensures its security using appropriate technical and organisational measures to protect against unauthorised or unlawful processing and against accidental loss, destruction or damage (Security, Integrity and Confidentiality).
- Not transferred to another country without appropriate safeguards being in place (Transfer Limitation).
- Made available to data Subjects and data subjects allowed to exercise certain rights in relation to their personal data (Data Subject's Rights and Requests).

We are responsible for and are able to demonstrate compliance with the data protection principles listed above (Accountability)

In order to ensure and maintain our data protection commitment throughout Exchange Data International Limited and, in order to demonstrate our compliance, our policies and processes include:

- **Information Auditing** - a group-wide information audit to identify and assess what personal data we hold, where it comes from, how and why it is processed and if and to whom it is disclosed.
- **Policies & Procedures** - data protection policies and procedures to meet the requirements and standards of the Data Protection Legislation, including:

- **Legal Basis and conditions for processing** - we have identified the legal basis for processing (and further conditions, where relevant for processing special category data and criminal offence and conviction data) and ensured that each basis is appropriate for the activity it relates to. Where applicable, we also maintain records of our processing activities.
  - **Privacy Notices** – our Privacy Notice(s) ensure that all individuals whose personal data we process have been informed of why we need it, how it is used, what their rights are, who the personal data is disclosed to and what safeguarding measures are in place to protect their personal data.
  - **Processor Agreements** – where we use any third-party to process personal data on our behalf, we have drafted compliant processor agreements and due diligence procedures for ensuring that they (*as well as we*), meet and understand their/our Data Protection Legislation obligations. These measures include reviews of the service provided, the necessity of the processing activity, the technical and organisational measures in place and compliance with the Data Protection Legislation.
  - **Employee awareness and training** – we understand that continuous employee awareness and understanding is vital to the continued compliance with Data Protection Legislation and have involved our employees in developing our policies and processes. We have implemented employee data protection training which forms part of our induction and training programmes.
  - **Data Retention & Erasure** – Retention policies to ensure that we meet the 'data minimisation' and 'storage limitation' principles and that personal data is stored, archived and destroyed securely.
  - **Data subject rights** – procedures for responding to all data subject's rights. Our procedures detail how to verify the data subject, what steps to take for processing a request, what exemptions apply and a suite of response templates to ensure that communications with data subjects are compliant, consistent and adequate; along with any exemptions, response timeframes and notification responsibilities.
  - **Data Breaches** – breach procedures to ensure that we have safeguards and measures in place to identify, assess, investigate and report any personal data breach at the earliest possible time. Our procedures are robust and have been disseminated to all employees, making them aware of the reporting lines and steps to follow.
- **Information Security & Technical and Organisational Measures**
    - We have robust information security policies and procedures in place to protect personal data from unauthorised access from the point of collection to the point of destruction. This includes encryption, firewalls, access controls, policies and other procedures.
    - We cannot guarantee the security of information transmitted over the Internet or that through this route unauthorized persons will not obtain access to personal data. In the event of a data breach, we have put in place procedures to deal with any suspected breach and will notify you and any applicable regulator of a breach where required to do so.
    - We restrict access to personal data we retain to only staff who need to access the information in the performance of their roles.

- We train our staff to ensure they protect personal data.
- Our staff are contractually obliged to maintain personal data as confidential.

We regularly evaluate and test the effectiveness of these safeguards to ensure security of our processing of personal data.

Exchange Data International Limited has appointed a Data Protection Manager with responsibility for data protection compliance. Questions about this statement, or requests for further information, should be directed to **a.woollhead @ exchange-data.com**